



Filing ID #10027998

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Richard E. Neal  
**Status:** Member  
**State/District:** MA01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cambridge Investors / Fidelity Balanced Fund (MF) [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / Fidelity Leveraged Company Stock [MF]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / T Rowe Price Cap Appreciation [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Cambridge Investors / T. Rowe Price Global [MF]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
City of Springfield, MA Retirement Fund ⇒ City of Springfield, MA Retirement Fund [PE]		\$15,001 - \$50,000	pension	\$15,001 - \$50,000	<input type="checkbox"/>
Congressional Federal Credit Union [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
First Republic Bank [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Santander Bank [BA]	JT	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Springfield Federal Credit Union [BA]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
TD Bank North [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Springfield	spouse salary	N/A
Commonwealth of Massachusetts	approved teaching fee	\$28,019.53
City of Springfield, MA, Retirement System	Annual Retirement Alliance	\$22,623.60

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

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- City of Springfield, MA Retirement Fund

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Richard E. Neal , 05/15/2019